Substitute Form PT 449 (Modified)

JUN 2 4 2005 Om PT 0449

U.S Department of Commerce Entent and Trademark Office
Information Biserosure Statement

Attorney's Docket No. 14413-038001

Application No. 10/657,726

by Applicant (Use several sheets if necessary)

Applicant . Werner Aigner, et al.

Filing Date

Group Art Unit

(37 CFR §1.98(b))

September 8, 2003

2171

			U.S. Pater	nt Documents	` .		
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	•					

	Foreign	n Patent Doc	uments or Pu	blished Foreign	Patent A	Application	าร	
Examiner	Desig.	Document	Publication	Country or			Trans	lation
Initial	ן מו	Number	Date	Patent Office	Class	Subclass	Yes	No
	AB		·					
	AC							

	Other D	ocuments (include Author, Title, Date, and Place of Publication)
Examiner Initial	Desig. ID	Document
QC .	AD	S. Hayward, "New Applications Emerge – Business Process Fusion," Gartner Research, Research Note, 6 pages, March 4, 2003
QC	AE	S. Hayward, M. Gilbert, G. Phifer, F. Caldwell, "The Smart Enterprise Suite Magic Quadrant for 2003," Gartner Research, Research Note, 5 pages, March 4, 2003
дс	AF	IBM, developerWorks: WebSphere: New to WebSphere, "New to WebSphere," http://www-106.ibm.com/developerworks/websphere/newto, 2 pages
QC	AG	Adrian Powell, "Model-driven development with the Eclipse Modeling Framework, Part 1," IBM, http://www-106.ibm.com/developerworks/opensource/library/os-ecemfl, 18 pages, March 30, 2004
QC	АН	IBM WebSphere – e-business on demand, middleware, application server, portal, business integration, infrastructure software, WebSphere Software Platform, "WebSphere platform overview," http://www-306.ibm.com/software/infol/websphere/index.jsp?tab=products/platforms, 2 pages
ÕС	AI	IBM WebSphere Foundation & Tools, WebSphere Software Platform, http://www-306.ibm.com/software/info1/websphere/index.jsp?tab=products/foundtools&S_TACT=103BGW01&S_CMP=camp, 2 pages
QC	AJ	IBM WebSphere Business Portals, WebSphere Software Platform, http://www-306.ibm.com/software/info1/websphere/index.jsp?tab=products/reachuser&S_TACT=103BGW01&S_CMP=campa, 2 pages
	AK	BM, WebSphere Business Integration software. model, integrate, connect, monitor and manage, WebSphere Software Platform, "Dusiness Integration," http://www.306.ibm.com/coftware/-info1/websphere/index.jsp?tab-preducts/businessint&S_TACT=103BGW01&S_CMP=compa, 2 pages
QC	AL	IBM WebSphere Transaction Processing Family, WebSphere Software Platform, "Transaction Servers & Tools," http://www-306.ibm.com/software/info1/websphere/index.jsp?tab=products/transactionserver&S_TACT=103BGW01&S_CMP, 2 pages
	AM	IDM WebSphere—e business on demend, middleware, application server, portal, business integration, infrastructure software, WebSphere Software Platform, "Foundation & Tools-Information Kit;" http://www-306.ibm.com/coftware/info1/websphere/indox.jsp?tab=landings/fandtkit&S_TACT=103BGW01&S_CMP=campaign, 2 pages

Examiner Signature	/Qing Chen/	Date Considered	01/25/2007	
EXAMINER: Initials citated next communication to a	tion considered. Draw line through cit applicant.	ation if not in conformance and i	not considered. Include copy of this Substitute Disclosure For	

Substitute Form PTO-1449 (Modified)			Application No. 10/657,726
Information Disclosure Statement by Applicant (Use several sheets if necessary)  (37 CFR §1.98(b))		Applicant Werner Aigner, et al.	
		Filing Date September 8, 2003	Group Art Unit 2171

	Other D	ocuments (include Author, Title, Date, and Place of Publication)
Examiner	Desig.	
Initial	ID	Document
	AN	IBM WebSphere - e-business on demand, middleware, application server, portal, business integration, infrastureture coftware, WebSphere Software Platform, "WebSphere and aSeries tools-Enabling Enterprise IT for On Demand," http://www30.ibm.com/software/info1/websphere/index.jsp?tab-landings/ets, 4 pages
		IRM WebSphere - e business on demand, middlewere, application cerver, portal, business
	AO	integration, infrastructure software, WebSphere Software Platform, "WebSphere Foundation & Tools," http://www-306.ibm.com/software/info1/websphere/index.jsp?tab-products/foundtoolsstory &S-TACT-103DGW01&8-CMP, 2 pages
		IBM Offering Information, "IBM WebSphere Commerce Business and Professional Editions and
QC	AP	WebSphere Commerce Studio Developer Editions, V5.5: Complete Solutions to Deploy Value Chain Initiatives," Software Announcement 203-168, 25 pages, June 24, 2003
QC	AQ	IBM Offering Information, "IBM WebSphere Portal for Multiplatforms V5.0 Offers a Unified User Experience," Software Announcement 203-182, 23 pages, July 22, 2003
		BM WebSphere - e business on demand, middleware, application server, pertal, business,
	AR	WebSphere Software Platform; "Transaction Servers & Tools," http://www- 306.ibm.com/coftware/info1/websphere/indox.jsp?teb=products/transcerversto, 1-page-
QC	AS	IBM, "Integrating Data and Transactions for Agile E-Business," WebSphere Application Server, Version 4.0, 24 pages, May 2001
QC	AT	Oracle Designer – Product Information, "Oracle Designer 10g,"  http://otn.oracle.com/products/designer/index.html, Oracle Corporation, 2 pages, 2004
QC	AU	"Oracle Application Server," Application Server, http://oracle.com/apperserver/content.html, Oracle Corporation, 1 page, 2004
QC	AV	"Oracle Application Server: Product Editions," Application Server Product Editions, http://www.oracle.com/appserver/pkgsum.html, Oracle Corporation, 2 pages, 2004
	AW	BM, Rational Software from BM, "Rational Software," http://www.206.ibm.com/software/
	AX	IBM Rational Software—Requirements and Analysis, "Requirements and Analysis," http://www
	AY	BM, Rational RequisitePro Product Overview BM Software, "Overview," http://www.306.ibm.com/software/awdtools/reqpro, 2 pages.
	AZ	BM, Rational Requisite For Features and Denefits - IBM Software, "Features and Denefits,"  http://www.306.ibm.com/software/awdtools/reqpro/features, 2 pages-
QC	AAA	Davor Gornik, "Entity Relationship Modeling With UML," IBM, 21 pages, June 11, 2003
ÕG	ABB	Glenn A. Webster, Staff Technical Writer, "IBM® Rational® Rapid Developer Components & Web Services," A Technical How-to Guide for Creating Components and Web Services in Rational Rapid Developer, Rev. 1.00, 16 pages, June 2003
QC	ACC	James R. Farrell, "IBM® Rational® Rapid Developer Technical Overview," A Technical Discussion of Rational Rapid Developer Technical Overview, 26 pages, July 2003
QC	ADD	IBM, "IBM® Rational® Rose XDE™ Developer," Extend Your Development Experience, Rational Software, 5 pages, 2004
	AEE	HDM, "HDM Rational Rose Technical Developer," Accelerating Complex Software Systems — Development, Rational Software, 4 pages, 2003-

Examiner Signature	/Qing Chen/	Date Considered	01/25/2007
EXAMINER: Initials citat next communication to a		not in conformance and i	not considered. Include copy of this form with  Substitute Disclosure Form (PTO-1449)

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 14413-038001	Application No. 10/657,726	
	closure Statement oplicant	Applicant Werner Aigner, et al.		
	neets if necessary)	Filing Date September 8, 2003	Group Art Unit 2171	

	Other D	ocuments (include Author, Title, Date, and Place of Publication)
Examiner Initial	Desig. ID	Document
	AFF	1Bivi, "1Bivi& Rational& Rose XDE 11 Iviodeler," Make Your Vision Reality, Rational Software, 3 pages, 2004
	AGG	BM, "BM Rational Rapid Developer," Architected Rapid Application Development, Rational Software, 3 pages, 2003
QC	АНН	Khawar Z. Ahmed, "J2EE Development With IBM Rational XDE and IBM WebSphere Studio Application Developer," IBM, Rational Software, A Technical Discussion of IBM Rational XDE TP197A, Version 2.2, 15 pages, June 2002
QC	AII	IBM, "Managing Use Cases Using the IBM Rational Solution for the Java Platform," Rational Software, 13 pages, June 2002
QC	AJJ	Khawar Z. Ahmed, "Using IBM Rational XDE and IBM Rational ClearCase Together," IBM, Rational Software, Version 1.2, 18 pages, February 28, 2003
	AKK	BM, Rational Rose XDE Modeler - Product Overview - IBM Software, "Overview," http://www.
. <u> </u>	ALL	BM, Rational Rose XDE Modeler Features and Benefits BM Software, "Features and Benefits," http://www-306.ibmrcom/software/awdtools/doveloper/modeler/features, 5 pages
	AMM	IBM, IBM Rational Software The Rational Advantage, "The On Domand Era," http://www-
QC	ANN	Mike Perrow, IBM Rational Communications, IBM Software Group, "Building the On Demand Business: Four Imperatives for Improved Software Development," Improving Software Development Capability, 25 pages, 2004
	AOO	MetaMatrix, "MetaBase Modeler Now on Eclipse," Version 4.0, Enterprise Information Integrationand Enterprise Information Management for the Real Time Enterprise, MetaMatrix EII colut, http://www.metamatrix.com, 2 pages
	APP	MetaMatrix, "Cross-Platform Metadata Management Solution," Metabase, 4 pages
	AQQ	MetaMatrix, "Metadata Modeling Environment," Metabase Modeler, 2 pages
	ARR	MetaMatrix, "MetaDase," Manage and Integrate Data Across the Extended Enterprise With- MetaMatrix Enterprise Data Integration and Data Management tec, http://www.metamatrix.com/
	ASS	MotaMatrin, "MotaBase Modelor™ Preview," Motamatrix MotaData Modeler for Enterprise  MotaData Management, http://www.motamatrin.com/metadata_modeler.html, 4 pages
	ATT	MotaMatrix, "MotaMatrix Server," Enterprise Information Integration and Meta Data Management Systems MetaMatrix, http://www.metamatrix.com/12_cerver.html, 2-pages—
	AUU	MotaMatrin, "MotaMatrin XA Server," Database Transactions and Updates, http://www.metemetrin.com/12_tech_ite.html, 2 pages
	AVV	IBM Patterns for a business: Collaboration business patterns, Collaboration, http://www.106.ibm.com/developerworks/patterns/collaboration/, pp. 1-2
	AWW	BM, developerWorks. Patterns for e-business, Collaboration. Review Application patterns, http://www.106.ibm.com/developerworks/patterns/collaboration/select-application-topologpp. 1-1-
	AXX	Rob Cutlip, developer Works. IBM developer solutions. Business process integration with IBM-Gross Worlds, Part 1: Introduction to collaboration development, http://www.106.ibm.com/developerworks/ibm/library/i-cross/, May 2002, pp. 1-8

Examiner Signature /Qing Chen/	Date Considered 01/25/2007
EXAMINER: Initials citation considered. Draw line through citation if no next communication to applicant.	ot in conformance and not considered. Include copy of this form with  Substitute Disclosure Form (PTO-1449)

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 14413-038001	Application No. 10/657,726	
	closure Statement	Applicant Werner Aigner, et al.		
	eets if necessary)	Filing Date September 8, 2003	Group Art Unit 2171	

	Other Documents (include Author, Title, Date, and Place of Publication)				
Examiner Initial	Desig. ID	Document			
	AYY	HDM Patterns for a business. WebSphere/Domino Integration austom design, Integrating the Self-Service and Collaboration patterns using WebSphere and Domino, http://www.106.ibm.com/developerworks/patterns/ws_domino/, pp. 1-2			
	AZZ	TDM, developerWorks. Patterns for a business, WebSphere/Domino Integration general guidelines, http://www-106.ibm.com/developerworks/patterns/wa-domino/info.html, pp. 1-2			

Examiner Signature /Qing Chen/	Date Considered 01/25/2007
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	